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[About us](#)[Regulation and policy](#)[Supervisory convergence](#)[Risk analysis and data](#)[Consumer corner](#)[News & press](#)[EBA Home](#) [Regulation and policy](#) [Internal governance](#)[Single Rulebook](#)[Implementing Basel III in Europe](#)[Implementing FSB Key Attributes on resolution matters](#)**Topics**[Accounting and auditing](#)[Anti-money laundering](#)[Colleges of supervisors](#)[Consumer protection and financial innovation](#)[Credit risk](#)[External Credit Assessment Institutions \(ECAI\)](#)[Financial conglomerates](#)**Internal governance**[Investment Firms](#)[Large exposures and structural measures](#)[Leverage ratio](#)[Liquidity risk](#)[Market infrastructures](#)[Market risk](#)[Model validation](#)[Operational risk](#)[Own funds](#)[Payment services and electronic money](#)[Passporting and supervision of branches](#)[Recovery, resolution and DGS](#)[Remuneration](#)[Securitisation and covered bonds](#)[Supervisory reporting](#)[Supervisory review and evaluation \(SREP\) and Pillar 2](#)[Transparency and Pillar 3](#)[Other topics](#)

Internal governance

EU legislation requires that institutions have robust governance arrangements, including a clear organisational structure, well defined lines of responsibility, effective risk management processes, control mechanisms and remuneration policies. The internal governance should be appropriate to the nature, scale and complexity of the institution. The main responsibility for internal governance lies with the management body, which is subject to specific suitability requirements. In this respect, the EBA published Guidelines on internal governance and in particular on the assessment of the suitability of members of the management body.

[Single Rulebook Q&A on Internal governance](#)

Technical Standards, Guidelines & Recommendations

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[Guidelines on outsourcing arrangements](#)

These draft Guidelines provide a clear definition of outsourcing and specify the criteria to assess whether or not an outsourced activity, service, process or function (or part of it) is critical or important. The Guidelines, which review the existing CEBS Guidelines on outsourcing published in 2006, aim at establishing a more harmonised framework for outsourcing arrangements of all financial institutions in the scope of the EBA's action.

Status: Final (awaiting translation into the EU official languages)

[Recommendations on outsourcing to cloud service providers](#)

These recommendations intend to clarify the EU-wide supervisory expectations if institutions intend to adopt cloud computing, so as to allow them to leverage the benefits of using cloud services, while ensuring that any related risks are adequately identified and managed.

Status: Final and translated into the EU official languages

[Guidelines on internal governance \(revised\)](#)

These draft Guidelines aim at further harmonising institutions' internal governance arrangements, processes and mechanisms across the EU, in line with the new requirements in this area introduced in the Capital Requirements Directive (CRD) and also taking into account the proportionality principle.

Status: Final and translated into the EU official languages

[Joint ESMA and EBA Guidelines on the assessment of the suitability of members of the management body](#)

The draft Guidelines aim at further improving and harmonising suitability assessments within the EU financial sectors and so ensure sound governance arrangements in financial institutions."

Status: Final and translated into the EU official languages

Opinions, Reports and other Publications

Reports

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The European Banking Authority (EBA) published today its report on benchmarking of remuneration practices in EU banks for the financial years 2015 and 2016 and high earners data for 2016. The data... [Read more](#)
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[Report on the benchmarking of diversity practices EBA-Op-2016-10 \[497.8KB\]](#)

Opinions

[Comments on the EU Commission green paper on corporate governance in financial institutions and remuneration policies \[51.3KB\]](#)

CEBS has today submitted its comments on the EU Commission green paper on corporate governance in financial institutions and remuneration policies. [Read more](#)

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